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 Regulated Industries Complaints Office
 Department of Commerce and Consumer Affairs
 State of Hawaii
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 235 South Beretania Street, Suite 900
 Honolulu, Hawaii 96813
 Telephone: 586-2660

Attorneys for Department of Commerce
 and Consumer Affairs

BOARD OF PRIVATE DETECTIVES AND GUARDS
 DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
 STATE OF HAWAII

In the Matter of the Guard License of) PDG 2015-34-L
)
 ROBERTO A. STA MARIA,) SETTLEMENT AGREEMENT PRIOR TO
) FILING OF PETITION FOR DISCIPLINARY
 Respondent.) ACTION AND BOARD'S FINAL ORDER
)

SETTLEMENT AGREEMENT PRIOR TO FILING OF PETITION
 FOR DISCIPLINARY ACTION AND BOARD'S FINAL ORDER

Petitioner, DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS'
 REGULATED INDUSTRIES COMPLAINTS OFFICE (hereinafter "RICO" or "Petitioner").
 through its undersigned attorney(s), and Respondent ROBERTO A. STA MARIA (hereinafter
 "Respondent"), enter into this Settlement Agreement on the terms and conditions set forth
 below.

A. UNCONTESTED FACTS

1. At all relevant times herein, Respondent was licensed by the Board of Private
 Detectives and Guards (hereinafter the "Board") as a guard under license number GD 723. The

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 HEARINGS OFFICE

license was issued on or about December 10, 2001. The license will expire or forfeit on or about June 30, 2016.

2. At all relevant times herein, Respondent was the principal guard for No Ka Oi Guard Services, LLC.

3. Respondent's mailing address for purposes of this action is P.O. Box 3111, Wailuku, Hawaii 96793.

4. RICO received a request for investigation from the Board of Private Detectives and Guards after No Ka Oi Guard Services, LLC failed to submit a completed semiannual list of employees for the July 2014 to December 2014 reporting period.

5. RICO alleges that Respondent failed to indicate education, psychiatric and psychological information on a semiannual list of employees submitted for the July 2014 to December 2014 reporting period. *see form*

6. Allegations regarding the guard agency will be addressed in a separate matter.

7. The foregoing allegations, if proven at an administrative hearing before the Board, would constitute violations of the following statute(s) and/or rule(s): Hawaii Revised Statutes ("HRS") § 436B-19(17) (violating chapter or rules) and Hawaii Administrative Rules ("HAR") § 16-97-15 (agency shall file semiannual lists of employees due July 31st (for January to June reporting period) and January 31st (for July to December reporting period)).

8. The Board has jurisdiction over the subject matter herein and over the parties hereto.

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B. REPRESENTATIONS BY RESPONDENT:

1. Respondent is fully aware that Respondent has the right to be represented by an attorney and voluntarily waives that right.
2. Respondent enters into this Settlement Agreement freely, knowingly, voluntarily, and under no coercion or duress.
3. Respondent is aware of the right to have a hearing to adjudicate the issues in the case. Pursuant to HRS § 91-9(d), Respondent freely, knowingly, and voluntarily waives the right to a hearing and agrees to dispose of this case in accordance with the terms and conditions of this Settlement Agreement.
4. Respondent being at all times relevant herein licensed as a guard by the Board acknowledges that Respondent is subject to penalties including but not limited to, revocation, suspension or limitation of the license and administrative fines, if the foregoing allegations are proven at hearing.
5. Respondent does not admit to violating any law or rule, but acknowledges that RICO has sufficient cause to file a Petition for Disciplinary Action against Respondent's guard license.
6. Respondent enters into this Settlement Agreement as a compromise of the claims and to conserve on the expenses of proceeding with an administrative hearing on this matter.
7. Respondent agrees that this Settlement Agreement is intended to resolve the issues raised in RICO's investigation in RICO No. PDG 2015-34.
8. Respondent understands this Settlement Agreement is public record pursuant to Hawaii Revised Statutes chapter 92F.

C. TERMS OF SETTLEMENT:

1. Administrative fine. Respondent agrees to pay a fine in the amount of ONE HUNDRED AND NO/100 DOLLARS (\$100.00). Payment shall be made by cashier's check or money order made payable to "DCCA - Compliance Resolution Fund" and mailed to the Regulated Industries Complaints Office, Attn: John T. Hassler, Esq., 235 S. Beretania Street, 9th Floor, Honolulu, Hawaii 96813. Payment of the fine shall be due at the time this Settlement Agreement is returned to RICO.

2. Failure to Comply with Settlement Agreement. If Respondent fails to fully and timely comply with the terms of this Settlement Agreement as set forth in paragraph(s) C.1 above, Respondent's license shall be automatically revoked upon RICO's filing of an affidavit with the Board attesting to such failure. In case of such revocation, Respondent shall turn in all indicia of the license to the Executive Officer of the Board within ten (10) days after receipt of notice of the revocation. In case of such revocation, Respondent understands Respondent cannot apply for a new license until the expiration of at least five (5) years after the effective date of the revocation. Respondent understands that if Respondent desires to become licensed again, Respondent must apply to the Board for a new license pursuant to and subject to HRS §§ 92-17, 436B-21, and all other applicable laws and rules in effect at the time.

3. Possible further sanction. The Board, at its discretion, may pursue additional disciplinary action as provided by law to include further fines and other sanctions as the Board may deem appropriate if Respondent violates any provision of the statutes or rules governing the conduct of guards in the State of Hawaii, or if Respondent fails to abide by the terms of this Settlement Agreement.

4. Approval of the Board. Respondent agrees that, except for the representations, agreements and covenants contained in Paragraphs C.5, C.6, C.7 and C.8 below, this Settlement Agreement shall not be binding on any of the parties unless and until it is approved by the Board.

5. No Objection if Board Fails to Approve. If the Board does not approve this Settlement Agreement, does not issue an order pursuant thereto, or does not approve a lesser remedy, but instead an administrative hearing is conducted against Respondent in the Board's usual and customary fashion pursuant to the Administrative Procedure Act, Respondent agrees that neither Respondent nor any attorney that Respondent may retain, will raise as an objection in any administrative proceeding or in any judicial action, to the Board's proceeding against Respondent on the basis that the Board has become disqualified to consider the case because of its review and consideration of this Settlement Agreement.

6. Any Ambiguities Shall be Construed to Protect the Consuming Public. It is agreed that any ambiguity in this Settlement Agreement is to be read in the manner that most completely protects the interests of the consuming public.

7. No Reliance on Representations by RICO. Other than the matters specifically stated in this Settlement Agreement, neither RICO nor anyone acting on its behalf has made any representation of fact, opinion or promise to Respondent to induce entry into this Settlement Agreement, and Respondent is not relying upon any statement, representation or opinion or promise made by RICO or any of its agents, employees, representatives or attorneys concerning the nature, extent or duration of exposure to legal liability arising from the subject matter of this Settlement Agreement or concerning any other matter.

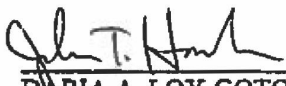
8. Complete Agreement. This Settlement Agreement is a complete settlement of the rights, responsibilities and liabilities of the parties hereto with respect to the subject matter hereof; contains the entire agreement of the parties; and may only be modified, changed or amended by written instrument duly executed by all parties hereto.

IN WITNESS WHEREOF, the parties have signed this Settlement Agreement on the date(s) set forth below.

DATED: LAS VEGAS NV 2-25-2016
(CITY) (STATE)


ROBERTO A. STA MARIA
Respondent

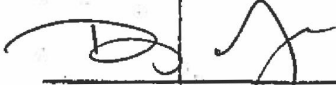
DATED: Honolulu, Hawaii, February 25, 2016.


DARIA A. LOY-GOTO
JOHN T. HASSLER
Attorneys for Department of Commerce and
Consumer Affairs

IN THE MATTER OF THE GUARD LICENSE OF ROBERTO A. STA MARIA:
SETTLEMENT AGREEMENT PRIOR TO FILING OF PETITION FOR DISCIPLINARY
ACTION AND BOARD'S FINAL ORDER: CASE NO(S). PDG 2015-34

**IN THE MATTER OF THE GUARD LICENSE OF ROBERTO A. STA MARIA;
SETTLEMENT AGREEMENT PRIOR TO FILING OF PETITION FOR DISCIPLINARY
ACTION AND BOARD'S FINAL ORDER: CASE NO(S). PDG 2015-34**

**APPROVED AND SO ORDERED:
BOARD OF PRIVATE DETECTIVES AND GUARDS
STATE OF HAWAII**



DOUGLAS H. INOUE
Chairperson

March 10, 2016

DATE

RAY GALAS
Vice Chairperson

CHIEF DARRYL PERRY



CHIEF HARRY S. KUBOJIRI



KENNETH CHANG



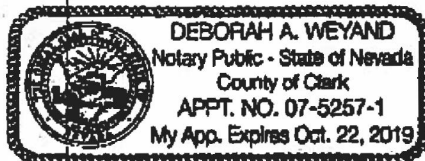
ALBERT DENIS

PVL 07/15

STATE OF NEVADA)
) SS.
COUNTY OF CLARK)

On this 25 day of FEB. 20 16, before me personally appeared
ROBERTO ALVAREZ
STA-MARIA, to me known to be the person described, and who executed the
foregoing instrument and acknowledged that he executed the same as his free act and
deed.

This 6 -page SETTLEMENT AGREEMENT
document dated FEBRUARY 25, 20 16 was acknowledged before me by
ROBERTO ALVAREZ
STA-MARIA this 25 day of FEBRUARY, 20 16, in the
City of NORTH LAS VEGAS, in the County of CLARK, in the State of
~~Hawaii~~ NEVADA



Deborah A. Weyand
Name: DEBORAH A. WEYAND
Notary Public, State of ~~Hawaii~~ NEVADA

My Commission expires: 10-22-2019